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PATENT
Docket No. 313332000100
Client Ref. SUN 0002P

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

This correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on August 5, 1997.

Date

8/5/97

Tiffany E. Montgomery

Tiffany E. Montgomery

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Sherman et al.

Serial No.: 08/812,393

Filing Date: March 5, 1997

For: RECOMBINANT CONSTRUCTS
ENCODING T-CELL RECEPTORS
SPECIFIC FOR HUMAN HLS-
RESTRICTED TUMOR ANTIGENS

Examiner: Unassigned

Group Art Unit: Unassigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under
37 C.F.R. § 1.53(d) dated May 5, 1997 attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A declaration signed by the inventor(s) and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
- ☒ A Declaration of Small Entity Status.
- ☒ A Petition for Extension of Time.

- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k).
- ☐ A preliminary amendment.
- ☒ Other: 556.00 check

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	21-20 =	1	x \$22.00	\$22
INDEPENDENT CLAIMS	4 - 3 =	1	x \$80.00	\$80
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$0
			BASIC FEE	\$770.00
			TOTAL OF ABOVE CALCULATIONS =	\$872.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$436.00
SURCHARGE FOR FILING MISSING PARTS				\$65.00
			TOTAL =	\$501.00

- ☒ A check in the amount of \$556.00 is attached.
- ☐ Charge \$ to Deposit Account No. 03-1952.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or

to credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this transmittal is enclosed for that purpose.

Dated: August 5, 1997

Respectfully submitted,

By: Kate H. Murashige
Kate H. Murashige
Registration No. 29,959

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Washington, D.C. 20006-1888
Telephone: (202) 887-1533
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUG 06 1997	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ _____ for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☐ small entity (verified statement filed), is \$_____.

- ☐ 1. The statutory basic filing fee is:
- ☒ missing.
 - ☐ insufficient.

09/10/1997 SBL 1772K 00000001 199703052 00012393 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. *Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ☐ 3. The oath or declaration:
- ☐ is missing.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:
- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$_____ processing fee is required since your check was returned without payment (37 CFR 1.225).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
 See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER: _____

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

LINE	ITEM	QTY	UNIT	PRICE	TOTAL
1	77777777	1.00	EA	385.00	385.00
2	77777777	1.00	EA	65.00	65.00
3	77777777	1.00	EA	11.00	11.00
4	77777777	1.00	EA	40.00	40.00
5	77777777	1.00	EA	385.00	385.00
6	77777777	1.00	EA	65.00	65.00
7	77777777	1.00	EA	11.00	11.00
8	77777777	1.00	EA	40.00	40.00
9	77777777	1.00	EA	385.00	385.00
10	77777777	1.00	EA	65.00	65.00
11	77777777	1.00	EA	11.00	11.00
12	77777777	1.00	EA	40.00	40.00
13	77777777	1.00	EA	385.00	385.00
14	77777777	1.00	EA	65.00	65.00
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20	77777777	1.00	EA	40.00	40.00
21	77777777	1.00	EA	385.00	385.00
22	77777777	1.00	EA	65.00	65.00
23	77777777	1.00	EA	11.00	11.00
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37	77777777	1.00	EA	385.00	385.00
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40	77777777	1.00	EA	40.00	40.00
41	77777777	1.00	EA	385.00	385.00
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47	77777777	1.00	EA	11.00	11.00
48	77777777	1.00	EA	40.00	40.00
49	77777777	1.00	EA	385.00	385.00
50	77777777	1.00	EA	65.00	65.00
51	77777777	1.00	EA	11.00	11.00
52	77777777	1.00	EA	40.00	40.00
53	77777777	1.00	EA	385.00	385.00
54	77777777	1.00	EA	65.00	65.00
55	77777777	1.00	EA	11.00	11.00
56	77777777	1.00	EA	40.00	40.00
57	77777777	1.00	EA	385.00	385.00
58	77777777	1.00	EA	65.00	65.00
59	77777777	1.00	EA	11.00	11.00